



CHECKLIST FOR APPLICATION

SUBCLASS 132 - BUSINESS TALENT (MIGRANT) VISA

This checklist is designed for applicants who base their claims on business(es) that operates in Hong Kong.

The following documents should be provided at time of application. Please note that all copies must be certified by a person prescribed in clause 2.13(5) of the *Migration Regulations 1994*. In addition, any documents not in English must be translated into English.

It is important that you folio and present all documents in the order outlined below. It is essential that the pages are numbered from the bottom up.

A FORMS REQUIRED FOR MAKING A VALID APPLICATION

yes

- | | | |
|---|---|--------------------------|
| 1 | Form 47BU Application for a Business Skills (Permanent) visa | <input type="checkbox"/> |
| 2 | Form 1213 Business Skills Profile: Business Talent | <input type="checkbox"/> |
| 3 | Form 1224 State/Territory Sponsorship: Business Talent Subclass | <input type="checkbox"/> |
| 4 | Visa Application Charge (see form 990I For current charges) | <input type="checkbox"/> |

B BUSINESS DOCUMENTS

1 Overview

An overview of business career and business intentions in Australia. This should address clauses 132.211, 132.212, 132.213, 132.214, 132.216 and 132.217 of the *Migration Regulations 1994*.

<i>yes</i>	<i>no</i>	<i>n/a</i>
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

II Business Ownership (please note that there is a minimum requirement of 10% ownership interest)

a Sole Proprietors / Partnerships

- | | | | | |
|-----|--|--------------------------|--------------------------|--------------------------|
| i | Application by an Individual for Registration of Business(es) certified by the Business Registration Office | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| ii | Business Registration Certificate (IRDB 101) for each of the relevant years | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| iii | Profits tax returns to identify the partners and their respective percentage ownership in the business (applicable to Partnerships only) | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |

b Incorporated Companies

- | | | | | |
|-----|---|--------------------------|--------------------------|--------------------------|
| i | Certificate of Incorporation | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| ii | Business Registration Certificate (IRDB 101) for each of the relevant years | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| iii | Annual Return (form AR1) certified by the Company Registry for each of the relevant years | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |

III Financial Documents

a Sole Proprietors / Partnerships

- | | | | | |
|----|--|--------------------------|--------------------------|--------------------------|
| i | Financial Statements (Balance Sheet & Profits and Loss Statement) prepared to International Standards on Review Engagements ('ISRE') 2400 for 2 of the 4 fiscal years preceding application. | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| ii | Profits Tax Return and Notice of Assessment for each of the relevant years | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |

b Incorporated Companies

- | | | | | |
|----|--|--------------------------|--------------------------|--------------------------|
| i | Audited financial statements (as provided to HK IRD) with comments on loans to and from the business | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| ii | Profits Tax Return and Notice of Assessment for each of the relevant years | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |

IV Other Business Documents	<i>yes</i>	<i>no</i>	<i>n/a</i>
a Organisational chart showing total no. staff, staff attribution, reporting lines and functional responsibilities	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
b Photos of business, including premises and business activities	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
c Brochures/promotional material (optional)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

C NET ASSETS OF APPLICANT AND/OR SPOUSE AVAILABLE FOR TRANSFER WITHIN 2 YEARS OF GRANT

***All assets should be valued at the same date within the 3 months preceding application lodgement.**

	<i>yes</i>	<i>no</i>	<i>n/a</i>
1 A summary statement outlining ALL assets and liabilities position	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2 For cash assets - Bank statements, fixed term deposit certificates on one date* within the 3 months preceding lodgement of the visa application	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
3 For real estate assets - Evidence of ownership and value of properties (current and historical land search from the Land Register, evidence of any mortgages or other encumbrances against properties, valuation* by international valuation firm, a qualified member of Royal Institute of Chartered Surveyors or a HK registered professional surveyor holding a valid Certificate of Registration issued by the Surveyors Registration Board)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
4 For business net assets - If net assets in business are to be included, the value is to be supported by audited financial statements or a limited audit in accordance with ISRE 2400, balance date* should fall within the 3 months preceding application lodgement	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

D OTHER DOCUMENTS

For each applicant aged 18 years and over claiming functional English evidence as per clause 5.17 of the *Migration Regulations 1994*

<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
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E PERSONAL DOCUMENTS

1 Form 47A for all dependents aged 18 or over	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2 Evidence of dependency for all dependents 18 or over	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
3 Form 80 for all persons included in application aged 16 or over	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
4 Birth certificate for all applicants	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
5 Marriage certificate or evidence of defacto relationship	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
6 Divorce certificate and evidence of custody for children where appropriate	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
7 Identity cards issued by the HKSAR authority where appropriate	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
8 Information page of passport for each applicant (where passport is renewed after application lodgement, certified copy of the renewed passport is required to be submitted)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
9 2 recent (not more than 12 months) passport sized photos of each applicant	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>



CHECKLIST FOR APPLICATION

SUBCLASS 160 - BUSINESS OWNER (PROVISIONAL) VISA

*This checklist is designed for applicants who base their claims on business(es) that operates in **Hong Kong**.*

The following documents should be provided at time of application. Please note that all copies must be certified by a person prescribed in clause 2.13(5) of the *Migration Regulations 1994*. In addition, any documents not in English must be translated into English.

It is important that you folio and present all documents in the order outlined below. It is essential that the pages are numbered from the bottom up.

A FORMS REQUIRED FOR MAKING A VALID APPLICATION yes

- 1 Form 47BT Application for a Business Skills (Provisional) visa
- 2 Form 1136 Business Skills Profile:
Business Owner and State/Territory Sponsored Business Owner (Provisional)
- 3 Visa Application Charge (see form 990I for current charges)

B BUSINESS DOCUMENTS

- I Overview** yes no n/a
- An overview of business career and business intentions in Australia. This should address clauses 160.211, 160.212, 160.213, 160.214, 160.217, 160.218 and 160.219A & B of the *Migration Regulations 1994*.

II Business Ownership

- a Sole Proprietors / Partnerships
- i Application by an Individual for Registration of Business(es) certified by the Business Registration Office
 - ii Business Registration Certificate (IRDB 101) for each of the relevant years
 - iii Profits tax returns to identify the partners and their respective percentage ownership in the business (applicable to Partnerships only)
- b Incorporated Companies
- i Certificate of Incorporation
 - ii Business Registration Certificate (IRDB 101) for each of the relevant years
 - iii Annual Return (form AR1) certified by the Company Registry for each of the relevant years

III Financial Documents

- a Sole Proprietors / Partnerships
- i Financial Statements (Balance Sheet & Profits and Loss Statement) prepared to International Standards on Review Engagements ('ISRE') 2400 for 2 of the 4 fiscal years preceding application
 - ii Profits Tax Return and Notice of Assessment for each of the relevant years
- b Incorporated Companies
- i Audited financial statements (as provided to HK IRD), with comments on loans to and from the business, for 2 of the 4 fiscal years preceding application
 - ii Profits Tax Return and Notice of Assessment for each of the relevant years

IV Other Business Documents	yes	no	n/a
a Organisational chart showing total no. staff, staff attribution, reporting lines and functional responsibilities	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
b Photos of business, including premises and business activities	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
c Brochures/promotional materials (optional)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

C NET ASSETS OF APPLICANT AND/OR SPOUSE AVAILABLE FOR TRANSFER WITHIN 2 YEARS OF GRANT

***All assets should be valued at the same date within the 3 months preceding application lodgement.**

1 A summary statement outlining ALL assets and liabilities position	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2 For cash assets - Bank statements, fixed term deposit certificates on one date* within the 3 months preceding lodgement of the visa application.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
3 For real estate assets - Evidence of ownership and value of properties (current and historical land search from the Land Register, evidence of any mortgages and other encumbrances against properties, valuation* by international valuation firm, a qualified member of Royal Institute of Chartered Surveyors or a HK registered professional surveyor holding a valid Certificate of Registration issued by the Surveyors Registration Board)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
4 For business net assets - If net assets in business are to be included, the value is to be supported by audited financial statements or a limited audit in accordance with ISRE 2400, balance date* should fall within the 3 months preceding application lodgement	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

D OTHER DOCUMENTS

1 Results of an IELTS test taken by the primary applicant not more than 12 months prior to application	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2 For each applicant aged 18 years of age and over claiming functional English evidence as per clause 5.17 of the <i>Migration Regulations 1994</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
3 Form 927 State/Territory notification: Business Skills Class	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

E PERSONAL DOCUMENTS

1 Form 47A for all dependents aged 18 or over	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2 Evidence of dependency for all dependents 18 or over	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
3 Form 80 for all persons included in application aged 16 or over	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
4 Birth certificate for all applicants	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
5 Marriage certificate or evidence of defacto relationship	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
6 Divorce certificate and evidence of custody for children where appropriate	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
7 Identity cards issued by the HKSAR authority where appropriate	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
8 Information page of passport for each applicant (where passport is renewed after application lodgement, certified copy of the renewed passport is required to be submitted)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
9 2 recent (not more than 12 months) passport sized photos of each applicant	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>



CHECKLIST FOR APPLICATION
SUBCLASS 161 - SENIOR EXECUTIVE (PROVISIONAL) VISA

*This checklist is designed for applicants who base their claims on employment in **Hong Kong***

The following documents should be provided at time of application. Please note that all copies must be certified by a person prescribed in clause 2.13(5) of the *Migration Regulations 1994* . In addition, any documents not in English must be translated into English.

It is important that you folio and present all documents in the order outlined below. It is essential that the pages are numbered from the bottom up.

- A FORMS REQUIRED FOR MAKING A VALID APPLICATION** yes
- 1 Form 47BT Application for a Business Skills (Provisional) visa
 - 2 Form 1137 Business Skills Profile:
Senior Executive and State/Territory Sponsored Senior Executive (Provisional)
 - 3 Visa Application Charge (see form 990I) for current charges

B BUSINESS DOCUMENTS

1 Overview

An overview of business career and business intentions in Australia. This should address clauses 161.211, 161.212(a) & (b), 161.213, 161.216, 168.218 and 161.219 of the *Migration Regulations 1994* yes no n/a

2 Major Business

Published annual report of the company for at least 2 of the 4 fiscal years preceding application. If annual report is not available, audited financial statements of the business (as provided to HK IRD) are required.

3 Applicant's Position in the Company

- a Full organisational/top structure chart issued by the Human Resources area of the organisation. Chart should show all top levels including Boards of Directors, Directors, divisional heads, etc.
- b Letter of appointment to position, contract of employment
- c Personal tax records as evidence of remuneration
- d Work reference issued by the employer indicating range of responsibilities and line of reporting

C NET ASSETS OF APPLICANT AND/OR SPOUSE AVAILABLE FOR TRANSFER WITHIN 2 YEARS OF GRANT

***All assets should be valued at the same date within the 3 months preceding application lodgement.**

- 1 A summary statement outlining ALL assets and liabilities position
- 2 For cash assets -
Bank statements, fixed term deposit certificates on one date* within the 3 months preceding the lodgement of the visa application.

- | | <i>yes</i> | <i>no</i> | <i>n/a</i> |
|---|--------------------------|--------------------------|--------------------------|
| 3 For real estate assets -
Evidence of ownership and value of properties (current and historical land search from the Land Register, evidence of any mortgages or other encumbrances against properties, valuation* by international valuation firm, a qualified member of Royal Institute of Chartered Surveyors or a HK registered professional surveyor holding a valid Certificate of Registration issued by the Surveyors Registration Board) | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |

D OTHER DOCUMENTS

- | | | | |
|---|--------------------------|--------------------------|--------------------------|
| 1 Results of an IELTS test taken by the primary applicant not more than 12 months prior to application | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 2 For each applicant aged 18 years of age and over claiming functional English evidence as per clause 5.17 of the <i>Migration Regulations 1994</i> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 3 Form 927 State/Territory notification: Business Skills Class | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |

E PERSONAL DOCUMENTS

- | | | | |
|---|--------------------------|--------------------------|--------------------------|
| 1 Form 47A for all dependents aged 18 or over | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 2 Evidence of dependency for all dependents 18 or over | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 3 Form 80 for all persons included in application aged 16 or over | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 4 Birth certificate for all applicants | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 5 Marriage certificate or evidence of defacto relationship | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 6 Divorce certificate and evidence of custody for children where appropriate | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 7 Identity cards issued by the HKSAR authority | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 8 Information page of passport for each applicant (where passport is renewed after application lodgement, certified copy of the renewed passport is required to be submitted) | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 9 2 recent (not more than 12 months) passport size photos of each applicant | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |



CHECKLIST FOR APPLICATION

SUBCLASS 162 - INVESTOR (PROVISIONAL) VISA

This checklist is designed for applicants who base their claims on business(es) that operates or investments made in **Hong Kong**.

The following documents should be provided at time of application. Please note that all copies must be certified by a person prescribed in clause 2.13(5) of the *Migration Regulations 1994*. In addition, any documents not in English must be translated into English.

To assist with assessment of the application, please ensure that each document is labelled clearly. Evidence of ownership and value of all assets *must* be cross referenced to the Statement of assets and liabilities position (SALP), see part H of Form 1139.

It is important that you folio and present all documents in the order outlined below. It is essential that the pages are numbered from the bottom up.

A FORMS REQUIRED FOR MAKING A VALID APPLICATION

- | | | | |
|---|---|-----|--------------------------|
| 1 | Form 47BT Application for a Business Skills (Provisional) visa | yes | <input type="checkbox"/> |
| 2 | Form 1139 Business Skills Profile:
Investor and State/Territory Sponsored Investor (Provisional) | | <input type="checkbox"/> |
| 3 | Visa Application Charge (see form 990I for current charges) | | <input type="checkbox"/> |

B INVESTMENT PORTFOLIO

- | | | | | |
|--|--|--------------------------|--------------------------|--------------------------|
| 1 Overview | | yes | no | n/a |
| An overview of eligible investment activity or qualifying business activity. This should address in particular clauses 162.211, 162.212, 162.213, 162.216 and 162.218 of the <i>Migration Regulations 1994</i> | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |

2 For applications based on qualifying business 162.212(2)(a) - Evidence of management/ownership in a qualifying business

- | | | | | |
|----|---|--------------------------|--------------------------|--------------------------|
| a | Evidence of management: | | | |
| i | Statement of management for 1 of the 5 fiscal years preceding application | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| ii | Organisational chart of the business for the above year. This should show direct reporting lines and functional responsibilities. | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| b | Evidence of ownership: | | | |
| i | Sole Proprietors / Partnerships | | | |
| 1 | Application by an Individual for Registration of Business(es) certified by the Business Registration Office | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 2 | Business Registration Certificate (IRDB 101) for each of the relevant years | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 3 | Profits tax returns to identify the partners and their respective percentage ownership in the business (applicable to Partnerships only) | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| ii | Incorporated Companies | | | |
| 1 | Certificate of Incorporation | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 2 | Business Registration Certificate (IRDB 101) for each of the relevant years | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 3 | Annual Return (form AR1) certified by the Company Registry for each of the relevant years | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| c | Evidence of value: | | | |
| i | Sole Proprietors / Partnerships | | | |
| 1 | Financial Statements (Balance Sheet & Profits and Loss Statement) prepared to International Standards on Review Engagements ('ISRE') 2400 with comments on loans to and from the business | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 2 | Profits Tax Return and Notice of Assessment for each of the relevant years | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| ii | Incorporated Companies | | | |
| 1 | Audited financial statements (as provided to HK IRD) with comments on loans to and from the business | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 2 | Profits Tax Return and Notice of Assessment for each of the relevant years | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |

3 OR For applications based on eligible investments 162.212(2)(b) Evidence of management / ownership of eligible investments

- | | | | | |
|---|---|--------------------------|--------------------------|--------------------------|
| a | A summary of eligible investments claimed for 1 of the 5 fiscal years preceding application and the total net value | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
|---|---|--------------------------|--------------------------|--------------------------|

	<i>yes</i>	<i>no</i>	<i>n/a</i>
b Evidence of management:			
- Statement with supporting evidence on the management of each eligible investment. This must be addressed as per PAM guidelines for 162.212(2)(b)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
c Evidence of ownership and value of each eligible investment:			
i For stocks and bonds - a statement of confirmation from the securities company detailing the amount of cash and quantity and market value of stocks/bonds held by the applicant and/or applicant's spouse as at fiscal year end date for each of the relevant years	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
ii For cash on deposit – bank statements, fixed term deposit certificates	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
iii For real estate – current and historical land search from the Land Register, evidence of any mortgages or other encumbrances against properties, valuation by an international valuation firm, a qualified member of Royal Institute of Chartered Surveyors or a HK registered professional surveyor holding a valid Certificate of Registration issued by the Surveyors Registration Board	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
C NET VALUE OF ASSETS OWNED BY APPLICANT AND/OR SPOUSE THROUGHOUT THE 2 FISCAL YEARS PRECEDING APPLICATION			
a Each asset listed on the SALP (part H of Form 1139) should be cross-referenced to evidence of value and ownership. A separate SALP should be completed for :			
i the end of the <i>three</i> consecutive fiscal years immediately preceding application; <i>and</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
ii as at date of application (where the date of application falls 3 months or more after the end of the most recent fiscal year).	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
b Evidence of ownership and value for each asset. Note that evidence of value must be provided for each point in time as per the SALP. Each document must be clearly labelled identifying the asset and cross-referencing it to the SALP.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
c Whether all assets and liabilities have been disclosed (evidence not required at this stage)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
D SOURCE OF FUNDS FOR PAID UP CAPITAL, LOAN TO THE BUSINESS IN ITEM 2 ABOVE, OR THE ELIGIBLE INVESTMENTS IN ITEM 3 ABOVE AND PERSONAL ASSETS			
1 A signed declaration made by the applicant on source of funds	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2 Evidence to substantiate claims made in the declaration on source of funds, eg. evidence supporting ownership and dividends received from a previous business; evidence of trading and profits gained from the share market, etc	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
E SOURCE OF FUNDS USED TO MAKE THE DESIGNATED INVESTMENTS			
1 Evidence that funds proposed to be used to make the DI were accumulated from qualifying business or eligible investments activities, eg, evidence of dividends or retained profit in business available for distribution and profits after tax gained from eligible investment activities	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2 An indication in the most recent SALP, assets likely to be used to fund the DI	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
F OTHER DOCUMENTS			
1 Results of an IELTS test taken by the primary applicant not more than 12 months prior to application	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2 For each applicant aged 18 years of age and over claiming functional English evidence as per clause 5.17 of the <i>Migration Regulations 1994</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
3 Form 927 State/Territory notification: Business Skills Class	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
G PERSONAL DOCUMENTS			
1 Form 47A for all dependants aged 18 or over	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2 Evidence of dependency for all dependants 18 or over	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
3 Form 80 for all persons included in application aged 16 or over	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
4 Birth certificate for all applicants	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
5 Marriage certificate or evidence of defacto relationship	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
6 Divorce certificate and evidence of custody for children where appropriate	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
7 Identity cards issued by the HKSAR authority where appropriate	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
8 Information page of passport for each applicant	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
9 2 recent (not more than 12 months) passport size photos of each applicant	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>



CHECKLIST FOR APPLICATION

SUBCLASS 163 -STATE/TERRITORY SPONSORED BUSINESS OWNER (PROVISIONAL) VISA

This checklist is designed for applicants who base their claims on business(es) that operates in Hong Kong.

The following documents should be provided at time of application. Please note that all copies must be certified by a person prescribed in clause 2.13(5) of the *Migration Regulations 1994*. In addition, any documents not in English must be translated into English.

It is important that you folio and present all documents in the order outlined below. It is essential that the pages are numbered from the bottom up.

A FORMS REQUIRED FOR MAKING A VALID APPLICATION (Note)

- | | | | |
|---|--|--------------------------|--------------------------|
| 1 | Form 47BT Application for a Business Skills (Provisional) visa | yes | |
| 2 | Form 1136 Business Skills Profile: Business Owner & State/Territory Sponsored Business Owner (Provisional) | <input type="checkbox"/> | <input type="checkbox"/> |
| 3 | Form 949 State/Territory Sponsorship lodged within the specified validity date of sponsorship | <input type="checkbox"/> | <input type="checkbox"/> |
| 4 | Visa Application Charge (see form 990I for current charges) | <input type="checkbox"/> | <input type="checkbox"/> |

B BUSINESS DOCUMENTS

- | | | yes | no | n/a |
|-------------------|--|--------------------------|--------------------------|--------------------------|
| 1 Overview | | | | |
| a | An overview of business career and business intentions in Australia. This should address clauses 163.211, 163.212, 163.213, 163.215, 163.216, 163.217 and 163.218 of the <i>Migration Regulations 1994</i> . | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| b | Organisation chart showing total no. of staff, staff attribution, reporting lines and functional responsibilities | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| c | Photos of business, including premises and business activities | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| d | Business licence of employing company | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| e | Brochures/promotional materials (optional) | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |

2 If seeking to meet visa criteria of clause 163.212(a):

I Business Ownership

- | | | | | |
|----------|--|--------------------------|--------------------------|--------------------------|
| a | Sole Proprietors / Partnerships | | | |
| | i Application by an Individual for Registration of Business(es) certified by the Business Registration Office | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| | ii Business Registration Certificate (IRDB 101) for each of the relevant years | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| | iii Profits tax returns to identify the partners and their respective percentage ownership in the business (applicable to Partnerships only) | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| b | Incorporated Companies | | | |
| | i Certificate of Incorporation | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| | ii Business Registration Certificate (IRDB 101) for each of the relevant years | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| | iii Annual Return (form AR1) certified by the Company Registry for each of the relevant years | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |

II Financial Documents

- | | | | | |
|----------|---|--------------------------|--------------------------|--------------------------|
| a | Sole Proprietors / Partnerships | | | |
| | i Financial Statements (Balance Sheet & Profits and Loss Statement) prepared to International Standards on Related Services ('ISRS') 4400 for 2 of the 4 fiscal years preceding application lodgement | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| | ii Profits Tax Return and Notice of Assessment for each of the relevant years | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| b | Incorporated Companies | | | |
| | i Audited financial statements (as provided to HK IRD), with comments on loans to and from the business, for 2 of the 4 fiscal years preceding application lodgement | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| | ii Profits Tax Return and Notice of Assessment for each of the relevant years | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |

	<i>yes</i>	<i>no</i>	<i>n/a</i>
3 If seeking to meet visa criteria 163.212(b):			
I Evidence of formal qualification or 5 years of appropriate employment experience	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
II Evidence of an additional 3 years of employment experience in a qualifying business at a senior level within the 5 years immediately preceding application lodgement			
a Letter of appointment to position, contract of employment	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
b Work reference issued by the employer indicating the level of your position and range of your responsibilities	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
c Personal tax records as evidence of remuneration	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
d Financial documents in support of business performance of the qualifying business similar to those required for applicants seeking to meet visa criteria 163.212(a). Please however be reminded that the years under review for the financial documents must be the same as the 3 years of employment experience in a qualifying business nominated for consideration.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
C NET ASSETS OF APPLICANT AND/OR SPOUSE AVAILABLE FOR TRANSFER WITHIN 2 YEARS OF GRANT			
*All assets should be valued at the same date within the 3 months preceding application lodgement			
1 A summary statement outlining ALL assets and liabilities position	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2 For cash assets - Bank statements, fixed term deposit certificates on one date* within the 3 months preceding lodgement of the visa application	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
3 For real estate assets - Evidence of ownership and value of properties (current and historical land search from the Land Register, evidence of any mortgages or other encumbrances against properties, valuation* by an international valuation firm, a qualified member of Royal Institute of Chartered Surveyors or a HK registered professional surveyor holding a valid Certificate of Registration issued by the Surveyors Registration Board)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
4 For business net assets - If net assets in business are to be included, the value is to be supported by audited financial statements or a limited audit in accordance with ISRE 2400, balance date* should fall within the 3 months preceding application lodgement.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
D OTHER DOCUMENTS			
For each applicant aged 18 years or over claiming functional English evidence as per clause 5.17 of the Migration Regulations 1994	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
E PERSONAL DOCUMENTS			
1 Form 47A for all dependents aged 18 or over	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2 Evidence of dependency for all dependents 18 or over	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
3 Form 80 for all persons included in application aged 16 or over	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
4 Birth certificate for all applicants	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
5 Marriage certificate or evidence of defacto relationship	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
6 Divorce certificate and evidence of custody for children where appropriate	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
7 Identity cards issued by the HKSAR authority where appropriate	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
8 Information page of passport for each applicant (where passport is renewed after application lodgement, certified copy of the renewed passport is required to be submitted)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
9 2 recent (not more than 12 months) passport sized photos of each applicant	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>



CHECKLIST FOR APPLICATION

SUBCLASS 164 - STATE/TERRITORY SPONSORED SENIOR EXECUTIVE (PROVISIONAL) VISA

This checklist is designed for applicants who base their claims on employment in **Hong Kong**

The following documents should be provided at time of application. Please note that all copies must be certified by a person prescribed in clause 2.13(5) of the *Migration Regulations 1994*. In addition, any documents not in English must be translated into English.

It is important that you folio and present all documents in the order outlined below. It is essential that the pages are numbered from the bottom up.

A FORMS REQUIRED FOR MAKING A VALID APPLICATION

yes

- 1 Form 47BT Application for a Business Skills (Provisional) visa
- 2 Form 1137 Business Skills Profile:
Senior Executive and State/Territory Sponsored Senior Executive (Provisional)
- 3 Form 949 State/Territory Sponsorship
- 4 Visa Application Charge (see form 990I for current charges)

B BUSINESS DOCUMENTS

1 Overview

yes no n/a

An overview of business career and business intentions in Australia. This should address clauses 164.211, 164.212(a) & (b), 164.213, 164.215, 164.216 and 164.217 of the *Migration Regulations 1994*

2 Major Business

Published annual report of the company for at least 2 of the 4 fiscal years preceding application. If annual report is not available, audited financial statements of the company (as provided to HK IRD) are required

3 Applicant's Position in the Company

- a Full organisational/top structure chart issued by the Human Resources area of the organisation. Chart should show all top levels including Boards of Directors, Directors, divisional heads, etc.
- b Letter of appointment to position, contract of employment
- c Personal tax records as evidence of remuneration
- d Work reference issued by the employer indicating range of responsibilities and line of reporting

C NET ASSETS OF APPLICANT AND/OR SPOUSE AVAILABLE FOR TRANSFER WITHIN 2 YEARS OF GRANT

***All assets should be valued at the same date within the 3 months preceding application lodgement**

- 1 A summary statement outlining ALL assets and liabilities position
- 2 For cash assets -
Bank statements, fixed term deposit certificates on one date* within the 3 months preceding the lodgement of the visa application.
- 3 For real estate assets -
Evidence of ownership and value of properties (current and historical land search from the Land Register, evidence of any mortgages or other encumbrances against properties, valuation* by an international valuation firm, a qualified member of Royal Institute of Chartered Surveyors or a HK registered professional surveyor holding a valid Certificate of Registration issued by the Surveyors Registration Board

D OTHER DOCUMENTS	<i>yes</i>	<i>no</i>	<i>n/a</i>
For each applicant aged 18 years or over claiming functional English evidence as per clause 5.17 of the Migration Regulations 1994	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
 E PERSONAL DOCUMENTS			
1 Form 47A for all dependents aged 18 or over	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2 Evidence of dependency for all dependents 18 or over	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
3 Form 80 for all persons included in application aged 16 or over	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
4 Birth certificate for all applicants	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
5 Marriage certificate or evidence of defacto relationship	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
6 Divorce certificate and evidence of custody for children where appropriate	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
7 Identity cards issued by the HKSAR authority	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
8 Information page of passport for each applicant (where passport is renewed after application lodgement, certified copy of the renewed passport is required to be submitted)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
9 2 recent (not more than 12 months) passport size photos of each applicant	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>



CHECKLIST FOR APPLICATION

SUBCLASS 165 - STATE/TERRITORY SPONSORED INVESTOR (PROVISIONAL) VISA

*This checklist is designed for applicants who base their claims on business(es) that operates or investments made in **Hong Kong**.*

The following documents should be provided at time of application. Please note that all copies must be certified by a person prescribed in clause 2.13(5) of the *Migration Regulations 1994*. In addition, any documents not in English must be translated into English.

To assist with the assessment of the application, please ensure that each document is labelled clearly. Evidence of ownership and value of all assets *must* be cross referenced to the Statement of assets and liabilities position (SALP), see part H of Form 1139.

It is important that you folio and present all documents in the order outlined below. It is essential that the pages are numbered from the bottom up.

A FORMS REQUIRED FOR MAKING A VALID APPLICATION

- | | | |
|---|--------------------------|--|
| | <i>yes</i> | |
| 1 Form 47BT Application for a Business Skills (Provisional) visa | <input type="checkbox"/> | |
| 2 Form 1139 Business Skills Profile:
Investor and State/Territory Sponsored Investor (Provisional) | <input type="checkbox"/> | |
| 3 Form 949 State/Territory Sponsorship | <input type="checkbox"/> | |
| 4 Visa Application Charge (see form 990I for current charges) | <input type="checkbox"/> | |

B INVESTMENT PORTFOLIO

- | | | | |
|--|--------------------------|--------------------------|--------------------------|
| 1 Overview | <i>yes</i> | <i>no</i> | <i>n/a</i> |
| An overview of eligible investment activity or qualifying business activity. This should address in particular clauses 165.211, 165.212, 165.213, 165.215 and 165.216 of the <i>Migration Regulations 1994</i> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |

2 For applications based on qualifying business 165.212(2)(a) - Evidence of management/ownership in a qualifying business

- | | | | |
|---|--------------------------|--------------------------|--------------------------|
| a Evidence of management: | | | |
| i Statement of management for 1 of the 5 fiscal years preceding application | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| ii Organisational chart of the business for the above year. This should show direct reporting lines and functional responsibilities. | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| b Evidence of ownership: | | | |
| i Sole Proprietors / Partnerships | | | |
| 1 Application by an Individual for Registration of Business(es) certified by the Business Registration Office | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 2 Business Registration Certificate (IRDB 101) for each of the relevant years | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 3 Profits tax returns to identify the partners and their respective percentage ownership in the business (applicable to Partnerships only) | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| ii Incorporated Companies | | | |
| 1 Certificate of Incorporation | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 2 Business Registration Certificate (IRDB 101) for each of the relevant years | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 3 Annual Return (form AR1) certified by the Company Registry for each of the relevant years | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| c Evidence of value: | | | |
| i Sole Proprietors / Partnerships | | | |
| 1 Financial Statements (Balance Sheet and Profits and Loss Statement) prepared to International Standards on Review Engagements ('ISRE') 2400 with comments on loans to and from the business | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 2 Profits Tax Return and Notice of Assessment for each of the relevant years | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| ii Incorporated Companies | | | |
| 1 Audited financial statements (as provided to HK IRD) with comments on loans to and from the business | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 2 Profits Tax Return and Notice of Assessment for each of the relevant years | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |

3 OR For applications based on eligible investments 165.212(2)(b) Evidence of management / ownership of eligible investments

- | | | | |
|---|--------------------------|--------------------------|--------------------------|
| a A summary of eligible investments claimed for 1 of the 5 fiscal years preceding application and the total net value | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
|---|--------------------------|--------------------------|--------------------------|

	<i>yes</i>	<i>no</i>	<i>n/a</i>
b Evidence of management:			
- Statement with supporting evidence on the management of each eligible investment. This must be addressed as per PAM guidelines for 165.212(2)(b)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
c Evidence of ownership and value of each eligible investment:			
i For stocks and bonds:			
- a statement of confirmation from the securities company detailing the amount of cash and quantity and market value of stocks/bonds held by the applicant and/or applicant's spouse as at fiscal year end date for each of the relevant years	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
ii For cash on deposit – bank statements, fixed term deposit certificates	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
iii For real estate – current and historical land search from the Land Register, evidence of any mortgages or other encumbrances against properties, valuation by an international valuation firm, a qualified member of Royal Institute of Chartered Surveyors or a HK registered professional surveyor holding a valid Certificate of Registration issued by the Surveyors Registration Board	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
C NET VALUE OF ASSETS OWNED BY APPLICANT AND/OR SPOUSE THROUGHOUT THE 2 FISCAL YEARS PRECEDING APPLICATION			
a Each asset listed on the SALP (part H of Form 1139) should be cross-referenced to evidence of value and ownership. A separate SALP should be completed for (part H can be duplicated for this purpose):	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
i the end of the <i>three</i> consecutive fiscal years immediately preceding application; <i>and</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
ii as at date of application (where the date of application falls 3 months or more after the end of the most recent fiscal year).	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
b Evidence of ownership and value for each asset (ie those not included in 165.212(2)(a) or (b)). Note that evidence of value must be provided for each point in time as per the SALP. Each document must be clearly labelled identifying the asset and cross-referencing it to the SALP.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
c Whether all assets and liabilities have been disclosed (evidence not required at this stage)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
D SOURCE OF FUNDS FOR PAID UP CAPITAL, LOAN TO THE BUSINESS IN ITEM 2 ABOVE, OR THE ELIGIBLE INVESTMENTS IN ITEM 3 ABOVE AND PERSONAL ASSETS			
1 A signed declaration made by the applicant on the source of funds	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2 Evidence to substantiate claims made in the declaration on source of funds, eg. evidence supporting ownership and dividends received from a previous business; evidence of trading and profits gained from the share market, etc.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
E SOURCE OF FUNDS USED TO MAKE THE DESIGNATED INVESTMENTS			
1 Evidence that funds proposed to be used to make the DI were accumulated from qualifying business or eligible investments, eg. evidence of dividends available for distribution and profits after tax gained from eligible investment activities	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2 Indication in the most recent SALP which assets is likely to be used to fund the DI	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
F OTHER DOCUMENTS			
For each applicant aged 18 years or over claiming functional English evidence as per clause 5.17 of the Migration Regulations 1994	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
G PERSONAL DOCUMENTS			
1 Form 47A for all dependants aged 18 or over	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2 Evidence of dependency for all dependants 18 or over	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
3 Form 80 for all persons included in application aged 16 or over	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
4 Birth certificate for all applicants	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
5 Marriage certificate or evidence of defacto relationship	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
6 Divorce certificate and evidence of custody for children where appropriate	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
7 Identity cards issued by the HKSAR authority where appropriate	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
8 Information page of passport for each applicant	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
9 2 recent (not more than 12 months) passport size photos of each applicant	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>